



**NOAA Technical Memorandum NMFS-NE-256**

# 2019 Observer Sea Days by Trip Selection System

**US DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
National Marine Fisheries Service  
Northeast Fisheries Science Center  
Woods Hole, Massachusetts  
June 2019**



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# **2019 Observer Sea Days by Trip Selection System**

by the Northeast Fisheries Science Center

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Woods Hole, Massachusetts  
June 2019

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## **LIST OF ACRONYMS AND ABBREVIATIONS**

AA = Access area  
ASM = At-Sea Monitoring Program  
CV = coefficient of variation  
FMP = fishery management plan  
FSB = Fisheries Sampling Branch  
GEN = General category  
HERR = herring  
IFM = industry-funded monitoring  
IFS = Industry-Funded Scallop Observer program  
IVR = interactive voice response  
lg = large mesh  
LIM = Limited access category  
MA = Mid-Atlantic  
MMPA = Marine Mammal Protection Act  
NE = New England  
NEFMC = New England Fishery Management Council  
NEFOP = Northeast Fisheries Observer Program  
NEFSC = Northeast Fisheries Science Center  
NMFS = National Marine Fisheries Service  
NMS = Northeast Multispecies  
NOAA = National Oceanic and Atmospheric Administration  
OB = observed or observer  
OBDBS = observer database  
OPEN = Nonaccess area  
PTNS = Pre-Trip Notification System  
SBRM = Standardized Bycatch Reporting Methodology  
sm = small mesh  
US = United States  
VMS = Vessel Monitoring System  
VTR = Vessel Trip Report  
xlg = extra large mesh

## EXECUTIVE SUMMARY

The Northeast Fisheries Science Center's Fisheries Sampling Branch (FSB) currently manages 3 observer programs and 3 systems to select commercial fishing trips in the Greater Atlantic region for observer coverage. The 3 observer programs are: the Northeast Fisheries Observer Program (NEFOP), the At-Sea Monitoring (ASM) program, and the Industry-Funded Scallop (IFS) observer program. In 2020, the FSB will manage a fourth observer program following requirements of the proposed Industry-Funded Monitoring Omnibus Amendment to the Atlantic herring fishery management plan (IFM HERR). The 3 selection systems are: the NEFOP Sea Day Schedule selection protocols (referred to as the Sea Day Schedule that includes trip selection by phone, email, letter, Vessel Monitoring System [VMS] message, or in person at the dock communication [dock intercept]), the Pre-Trip Notification System (PTNS), and an automated Interactive Voice Response system (IVR).

There are 11,325 allocated observer sea days for the April 2019 through March 2020 time period to assess the amount and type of bycatch of fish, invertebrates, sea turtles, and marine mammals in the region. There are 2 funding source categories for the observer sea days: National Marine Fisheries Service funding (sea days associated with the Standardized Bycatch Reporting Methodology [SBRM] and the Marine Mammal Protection Act [MMPA] sampling designs) and industry funding (sea days associated with the Atlantic Sea Scallop fishery management plan's [FMP] IFS, Northeast Multispecies (NMS) FMP ASM, and the proposed IFM HERR sampling designs).

There are 7,068 SBRM NEFOP sea days, of which 5,302 sea days are apportioned to the Sea Day Schedule and 1,766 sea days are apportioned to the PTNS. Of the 1,766 SBRM NEFOP PTNS sea days, 1,735 sea days are assigned to fleets with NMS FMP pre-trip notification requirements and 31 sea days are assigned to fleets with the proposed IFM HERR pre-trip notification requirements, to be implemented in January 2020 at the earliest. There are 3,711 IFS sea days assigned to the IVR for IFS fleets. There are 546 MMPA-funded sea days, of which 173 sea days are assigned to the PTNS and 373 sea days are assigned to the Sea Day Schedule.

This document describes the methods used to identify and apportion the observer sea days among selection systems, presents the numbers of sea days by fleet and selection system, and the expected observer coverage by fleet provided by the SBRM NEFOP PTNS sea days. The expected contributions of SBRM NEFOP PTNS sea days toward the 2 FMP-specific industry-funded monitoring total combined targets are approximate and derived based on previous Vessel Trip Report activity. The NMS FMP industry-funded monitoring target requirement is a combination of SBRM NEFOP sea days and ASM realized coverage. The proposed IFM HERR FMP industry-funded monitoring target requirement is a combination of SBRM NEFOP sea days and IFM HERR realized coverage. The expected observer coverage values by fleet are used to inform the initial SBRM coverage rate settings within PTNS at the start of a sampling program. Once a sampling program is underway, coverage rates are monitored and adjusted as needed in order to optimize sea day accomplishments.

## INTRODUCTION

The Northeast Fisheries Science Center's (NEFSC) Fisheries Sampling Branch (FSB) currently manages 3 separate but related observer programs and 3 systems to select commercial fishing trips in the Greater Atlantic region (Maine to North Carolina) for observer coverage. These observer programs and selection systems support 4 sampling designs used in this region (Figure 1). Contracted or approved observer service provider companies hire and deploy observers in accordance with FSB protocols.

The FSB, under federal contract with an observer service provider, manages the Northeast Fisheries Observer Program (NEFOP). NEFOP observers collect a broad range of data including information on all species, by disposition (retained and discarded), that are encountered during a fishing trip as well as gear characteristics data and economic information. Biological samples are collected. NEFOP observers are deployed on commercial trips fishing in the Greater Atlantic region to meet specified annual sea day requirements, as defined by the Standardized Bycatch Reporting Methodology (SBRM) sampling design or by the Marine Mammal Protection Act (MMPA) sampling design that utilizes the NEFOP sampling protocols on gillnet trips that are specific to protected species, referred as NEFOP Limited<sup>1</sup>. The objective of the NEFOP is to monitor bycatch of all species. Coverage for this observer program is set at specified sea day levels and not as a target percent coverage. In order to select trips for NEFOP (and NEFOP Limited) coverage, the FSB utilizes both the Pre-Trip Notification System (PTNS; Palmer et al. 2013) and NEFOP Sea Day Schedule selection protocols (referred to as the Sea Day Schedule; includes trip selection by phone, email, letter, Vessel Monitoring System [VMS] message, or in person at the dock communication [dock intercept]).

The FSB, working with approved observer service providers, manages the At-Sea Monitoring (ASM) program. At-sea monitors collect information on all species, by disposition (retained and discarded) that are encountered during a fishing trip. Biological samples are not collected. At-sea monitors are deployed on groundfish sector vessels fishing on declared Northeast Multispecies (NMS) fishery management plan (FMP) trips. The main objective of this monitoring is to verify the areas fished and the kept and discarded components of catch by species and gear type to reliably estimate overall catch by sector vessels. The monitoring coverage is expressed as a set percent coverage of trips as specified by the ASM sampling design. Selection for all ASM trips occurs through the PTNS. To facilitate deployment, vessel representatives are required to notify via the PTNS for groundfish trips a minimum of 48 hours in advance of trip sail time.

The FSB, working with approved observer service providers, manages the Industry-Funded Scallop (IFS) observer program. IFS observers collect information on all species, by disposition (retained and discards) that are encountered during a fishing trip. Biological samples are collected. IFS observers are deployed on vessels fishing on declared Atlantic sea scallop FMP trips to meet sampling requirements specified by the IFS sampling design. The objective of the IFS is to monitor the bycatch of finfish, to collect biological information to inform stock assessments, and to monitor any interactions of the scallop fishery with endangered or threatened species, such as sea turtles. This program also must meet the precision-based SBRM sampling requirements. The IFS observer program utilizes an automated Interactive Voice Response (IVR) system to record information on

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<sup>1</sup> On gillnet trips, sampling of discards are either "complete fish sampling" or "limited fish sampling," but not both on the same trip. The type of sampling is determined before the trip begins. See the [NEFOP Operations manual](#) for further details.

a vessel's intent to fish for scallops on a trip. To facilitate deployment, vessel representatives are required to notify 72 hours in advance of fishing.

In 2020, the FSB, working with approved observer service providers, will manage a fourth observer program following requirements of the proposed Industry-Funded Monitoring Omnibus Amendment<sup>2</sup> (NMFS and NEFMC 2018). The amendment will lay the foundation for future industry-funded monitoring (IFM) programs and describes the proposed industry-funded monitoring requirement for the Atlantic herring FMP (IFM HERR) total target of 50% of applicable herring trips. The total target is expressed as a percentage of realized effort that can be achieved by a combination of IFM HERR and SBRM NEFOP sea days. The objective of this coverage is to increase monitoring of the Atlantic herring fishery to assess the amount and type of catch and to more accurately estimate incidentally caught species with catch caps. The coverage will provide more information for management purposes. Trip selection for this program is expected to occur with the PTNS. To facilitate deployment, vessel representatives will be required to notify 48 hours in advance of a fishing trip. For the first 3 calendar quarters of the SBRM year (April 1 – Dec 31, 2019) all fleets that will be associated with the proposed IFM HERR requirements will be selected via the Sea Day Schedule as required by SBRM. In January 2020 at the earliest, vessels will be required to notify their intent to fish through the PTNS.

Annually, the NEFSC determines the number of sea days required to assess the amount and type of bycatch in the Greater Atlantic region as required by the Standardized Bycatch Reporting Methodology Omnibus Amendment for all Council-led regional FMPs (NEFMC 2015). The 2019 SBRM Annual Discard Report with Observer Sea Day Allocation (NEFSC and GARFO 2019) summarizes the number of sea days allocated to each fleet<sup>3</sup> to achieve a given level of precision of the discard estimates for 14 federally-managed fish/invertebrate species groups and 1 sea turtle species for the upcoming year and the funding sources to support the observer sea days. The SBRM sampling requirements are funded by National Marine Fisheries Service (NMFS) for all fleets except scallop fleets which are funded by the scallop industry (described below). The annual discard report also summarizes the number of MMPA sea days that are allocated to New England (NE) and Mid-Atlantic (MA) gillnet fisheries to achieve a given level of precision for marine mammal bycatch estimates according to Rossman (2007). Because of sampling protocol differences, the MMPA sea days do not contribute toward SBRM or industry-funded monitoring requirements.

Annually, the Greater Atlantic Regional Fisheries Office (GARFO) and the NEFSC conduct an observer set-aside compensation rate analysis to set initial compensation rates for IFS fleets. The number of industry-funded scallop sea days available for scallop fleets is determined by taking 1% of the total acceptable biological catch/annual catch limit set for the year. The Industry-Funded Scallop Program allows the vessels an increase in landings to help defray the costs of carrying an observer (i.e., the compensation rate). The sale of the additional scallops allocated to each boat supplies the funding for the at-sea costs of observer coverage<sup>4</sup>. Based upon projected landings and expected prices, the IFS program generates funds in support of discard monitoring of the scallop fleets. A compensation rate analysis was undertaken to support observer coverage of the 10 industry-funded scallop fleets in the 2019 SBRM (see GARFO [scallop monitoring webpage](#) and the [NEFSC's SBRM webpage](#)). The IFS sampling must meet the SBRM

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<sup>2</sup> The earliest implementation date is January 2020.

<sup>3</sup> The SBRM groups trips into nonoverlapping fleets with a broad stratification scheme using 5 classification variables (geographic region based on port of departure, gear type, mesh group, access area, and trip category).

<sup>4</sup> IFS shoreside costs are funded by NMFS.

sampling requirements for scallop fleets via the observer set-aside or other scallop industry funds. The stratification used in the compensation rate analysis is specific to the scallop FMP and differs from the SBRM. Because of differences in stratification, the industry-funded scallop sea days are not allocated to individual SBRM fleets, but rather to groups of SBRM fleets that correspond to the stratification used in the compensation rate analysis. The IFS sampling levels are expressed in percentages of realized trips, and the accomplished sea days are tracked to meet both SBRM and IFS requirements.

Annually, GARFO conducts an analysis to determine the NMS FMP's monitoring requirements that are presented in Summary of Analyses Conducted To Determine At-Sea Monitoring Requirements for Multispecies Sectors FY2019 (GARFO 2019). This analysis utilizes the most recent 3 years of data that are averaged to smooth assumed random interannual fluctuations of the discard variability estimate for each stock (GARFO 2019). The total monitoring coverage rate is applied to each sector and is expected to achieve the required 30% coefficient of variation of the discard estimates for each NMS FMP stock for all sectors and gears combined while minimizing costs to the extent practicable. It is derived from an aggregate analysis that uses strata based on sector, gear, and stock area (GARFO 2019). The total target at-sea monitoring requirement for groundfish trips (expressed as a percentage of realized effort) can be achieved by a combination of ASM and SBRM NEFOP sea days.

In summary, the basis of the sampling requirements differs among SBRM, IFS, ASM, and proposed IFM HERR sampling designs. The IFS and proposed IFM HERR do not have precision-based sampling requirements. The IFS and the proposed IFM HERR are based on selected levels of monitoring. The SBRM and ASM have precision-based sampling requirements but are calculated and expressed differently. The SBRM has a set number of required sea days (not driven by realized industry effort) while the ASM, IFS, and proposed IFM HERR requirements are expressed as a percentage of realized trips. Unlike the IFS, the industry-funded portions<sup>5</sup> of the monitoring requirements of ASM and proposed IFM HERR do not contribute toward the SBRM requirement; however, the SBRM sea days contribute toward each of these total industry-funded target requirements (see [Northeast Multispecies monitoring webpage](#); see [IFM Omnibus Amendment](#)).

In 2018, the PTNS was modified to allow the system to support multiple sampling programs with different sampling designs (e.g., SBRM NEFOP, ASM). This change now allows NMFS to deploy SBRM coverage in the groundfish fleets consistent with the SBRM requirements. In past fishing years, the NMFS has distributed the SBRM coverage evenly across the fleets that contain declared groundfish trips, with each sector receiving approximately the same SBRM NEFOP coverage rate, subject to random variations between sectors. Starting May 1 2019<sup>6</sup>, SBRM NEFOP sea days will be assigned at levels consistent with the fleet-based coverage prescribed by

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<sup>5</sup> In the past, NMFS has reimbursed some or the entire industry-funded portion of the total combined ASM target requirement. Regardless of funding source, industry-funding monitoring does not contribute toward SBRM requirements. The differences in sampling designs (i.e., stratification) could result in disproportional sampling within an SBRM fleet that could result in sampling bias.

<sup>6</sup> For the first month of the 2019 SBRM year (April 1, 2019 - April 30, 2019) only, SBRM coverage will be distributed equally across all SBRM fleets operating within the groundfish fishery and will continue at a constant coverage rate across sectors. Beginning May 1, 2019, consistent with the start of the groundfish fishing year, SBRM sea days will be tasked following fleet-based coverage levels prescribed by the SBRM. This modification to the sample design is intended to ensure that the levels of SBRM NEFOP coverage meet SBRM regulatory requirements. PTNS target coverage will still be set to achieve all required SBRM sea days by March 31, 2020. This decision was driven by a need to allow for some additional time to communicate to industry what changes can be expected from fleet-varying SBRM NEFOP coverage levels (and thus variable ASM coverage needs) across sectors. In future years, SBRM fleet-based coverage in PTNS will be deployed consistent with the SBRM year April 1 through March 31.

the SBRM. This change is intended to better ensure that the levels of SBRM NEFOP coverage meet SBRM regulatory requirements. Since SBRM fleets can experience varying levels of NEFOP coverage depending on the fleet composition of sectors and random variability in SBRM coverage among vessels within a fleet, some sectors will receive more NEFOP coverage than others. Hence, sectors may require differing amounts of ASM coverage to achieve the combined target coverage level.

To select fishing trips for observer<sup>7</sup> coverage and track observer coverage to meet the SBRM sea days and target percentages of the IFS, ASM, and proposed IFM HERR in fleets that can be composed of fishing trips operating under multiple FMPs, the allocated observer sea days are apportioned among the 3 selection systems (Figure 1). This document describes the methods used to identify and apportion the observer sea days among 3 selection systems and presents the numbers of observer sea days by fleet and selection system. Additionally, this document outlines the methods that will be used to apportion SBRM NEFOP sea days among trips that will be subject to the proposed IFM HERR PTNS requirements for the final quarter of the SBRM sea day year (Jan 1-Mar 31 2020), at the earliest. The expected SBRM NEFOP observer coverage by fleet used in PTNS is also provided. The expected observer coverage values by fleet are used to inform the initial SBRM coverage rate settings within PTNS at the start of a sampling program. Once a sampling program is underway, coverage rates are monitored and adjusted as needed in order to optimize sea day accomplishments.

## IDENTIFICATION OF FISHING TRIPS

The commercial fishing trips in the Vessel Trip Report (VTR) dataset used in the SBRM annual analysis and associated with FMPs that have pre-trip notification requirements are identified by using information in the VTR database, PERMIT database, and the VMS declaration codes<sup>8</sup> in the Allocation Management System database. The operational criteria used to identify VTR trips with pre-trip notification requirements are:

- Atlantic Sea Scallop FMP's IVR requirements
  - Trips using either scallop trawl or scallop dredge (VTR gear codes "OTC," "DRS," "DTC," "DSC," "DTS")
- Northeast Multispecies FMP's PTNS requirements
  - Trips using bottom trawl, longline, handline, fish pot, or gillnet gear, and
    - VMS plan code of "NMS";
    - VMS plan code of "MNK" and a nonsuppressed multispecies charge<sup>9</sup>
    - VMS plan code of "MNK" and program code indicating a Sector or Common Pool trip
  - Common Pool trips fishing under a Limited Access handline permit category ("HA") and Common Pool trips fishing under a small vessel exemption permit

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<sup>7</sup> "Observer" in this document refers to either observer or at-sea monitor.

<sup>8</sup> Details on VMS declaration codes are available online at [GARFO's VMS webpage](#), and VTR gear codes are described in the [VTR instructions](#).

<sup>9</sup> When a vessel declares a Monkfish trip and also holds a Northeast Multispecies permit, it is also charged as a Multispecies trip and is subject to At-Sea Monitoring. When that vessel's Multispecies "days-at-sea" balance runs out, the Multispecies charge gets suppressed, and it is a "Monkfish only" trip that is not subject to At-Sea Monitoring.

category (“C”) are not subject to pre-trip notification requirements; these trips are excluded.

- Atlantic herring FMP’s PTNS requirements (as proposed [C. Nordeen pers. comm. April 17, 2019], to be implemented January 2020 at the earliest)
  - Vessel has a herring permit category of “A,” “B,” or “C” and trip has an VMS plan code of “HER” or “H” in program code
  - Vessel has a herring permit category of “E,” and trip has an VMS plan code of “HER” or “H” in program code
  - Vessel has a herring permit category of “D,” fished with mid-water trawl gear (either VMS gear type of “M” [mid-water trawl], or VTR gear code in [“OTM,” “PTM”]; mid-water trawl and paired mid-water trawl, respectively]), and VTR area code in statistical areas (460s, 510s, 520s, 540s, 560s)
  - Vessel has a herring permit category of “A,” “B,” “C,” “D,” or “E” and the trip has an VMS plan code of “HER” and an VMS program code of “CAR” (carrier), or vessel has an active Letter of Authorization (exemption type like “%HERRING CARRIER%”)

For the Atlantic sea scallop FMP, all Limited Access and Limited Access General Category scallop trips are required to use the IVR. However, for the NMS FMP and the proposed Herring FMP, trips with industry-funded monitoring requirements are a subset of trips identified above that have pre-trip notification requirements. The NMS FMP does not require ASM for trips associated with the Common Pool nor sector trips with ASM exemptions (i.e., ASM requirements have been removed for a subset of the extra large mesh gillnet sector trips with low groundfish bycatch). The proposed IFM HERR will require industry-funded monitoring for only declared herring trips by vessels holding permit “A” or “B” with the intent to land greater than or equal to 50 mt of herring.

## **PARTITIONING OBSERVER SEA DAYS AMONG SELECTION SYSTEMS**

### **SBRM and IFS Observer Sea Days**

Table 1 presents the number of observer sea days allocated in a fleet or fleet group for the April 2019 through March 2020 time period (Column A; taken from Step 12, Table 6 in NEFSC and GARFO 2019), the associated number of observed trips (Column B), and the number of VTR days and trips (Columns C and D, respectively) from the July 2017 through June 2018 time period (the data set used in the 2019 SBRM data set; see Tables 2 and 3 in Wigley and Tholke 2019). The allocated observer sea days and trips can be translated into expected observer coverage (Columns E and F, respectively) by dividing the observer sea days (or trips) by the VTR sea days (or trips). The expected observer coverage percentages are provided for perspective only; they are not used for setting SBRM coverage in the current year. The expected observer coverage is based on the previous year’s data because future activity is not known; therefore, the expected observer percentages are conditional. Table 1 also includes the VTR days and trips for calendar quarter 1 (January-March 2018, Columns C1 and D1, respectively). This information will be used exclusively in determining the number of sea days for PTNS for the proposed IFM HERR requirements for the January-March 2020 time period. The allocated observer sea days for quarter

1 associated with fleets with proposed IFM HERR pre-trip notification requirements are derived by multiplying the allocated observer sea days (Column A, Table 1) by the quotient of the VTR activity for quarter 1 (Column D1, Table 1) and the annual VTR activity (Column D, Table 1). However, if the fleet had either minimum pilot coverage, or pilot coverage that is equivalent to minimum pilot coverage, then the quotient of minimum pilot coverage for quarter 1 and the minimum pilot coverage for the year was used instead of the quotient based on VTR activity. This calculation was used in the NE and MA small mesh mid-water trawl fleets (Rows 43 and 44; for pilot and minimum pilot fleet designations see Table 4 in NEFSC and GARFO 2019).

The observer sea days are apportioned to the appropriate trip selection system based on the proportion of trips within the fleet that have FMP pre-trip notification requirements. When there is no pre-trip notification requirement, the Sea Day Schedule is used. As mentioned above, the scallop FMP pre-trip notification requirement applies to trips using scallop trawl and scallop dredge gear, a distinct set of fleets (IFS fleets) that apply only to the IFS program. Therefore, all IFS sea days in the IFS fleets are assigned to trips via the IVR system (Rows 36-38, and 40, Table 1, Column A and Table 2, Columns A and I).

The rest of the fleets (Rows 1-8, 12-33, and 43-63, Tables 1 and 2) may be composed of trips with FMP pre-trip notification requirements (NMS FMP and proposed IFM HERR). For these fleets, the following steps are taken to apportion the allocated observer sea days (Column A) among the PTNS (Table 2, Columns J and K for NMS FMP and proposed IFM HERR, respectively) and the Sea Day Schedule (Table 2, Column L).

- Derive the fraction of VTR activity that requires pre-trip notification within each fleet.
  - For each fleet, divide the number of VTR trips with the FMP-specific PTNS requirements (not shown in table) by the total VTR trips in the fleet (Column D for ASM, Column D1 for proposed IFM HERR<sup>10</sup>, Table 1).

*For example, if there are 40 VTR trips and 10 of these trips are subject to NMS FMP pre-trip notification requirements in a fleet, then the fraction of VTR activity subject to PTNS requirements is 0.25 (10/40 = 0.25).*

- The fraction of VTR activity subject to NMS FMP pre-trip notification requirements is given in Column G (Table 2), and the fraction of VTR activity subject to the proposed IFM HERR is given in Column H1 (Table 2) for calendar quarter 1.
- Derive the allocated observer sea days to be assigned by the selection system associated with each specific FMP with pre-trip notification requirements.
  - Multiply the fraction of VTR activity subject to the FMP-specific PTNS requirements (Column G for NMS FMP; Column H1 for proposed IFM HERR; Table 2) by the total number of allocated sea days within each fleet (Column A; Table 2), and rounded to whole days. The remaining sea days in the fleet are assigned to the Sea Day Schedule (Column L).

*For example, if there are 32 allocated SBRM observer sea days and the fraction of VTR activity subject to NMS FMP pre-trip notification is 0.25 in a fleet, then 8 (32*

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<sup>10</sup> The proposed IFM HERR has a January 2020 implementation date, at the earliest, therefore the values used in this step are only calendar quarter 1 (January-March), not the entire year.

*\* 0.25) sea days, rounded to whole days, would be apportioned to the PTNS (these SBRM NEFOP sea days will contribute toward the total combined ASM target). The remaining 24 (32 - 8) sea days would be apportioned to the Sea Day Schedule.*

Table 2 presents the number of observer sea days allocated in each fleet or fleet group (Column A), the fraction of VTR activity subject to the NMS FMP pre-trip notification requirement (Column G), the fraction of VTR activity subject to the proposed IFM HERR pre-trip notification requirement in calendar quarter 1 (Column H1), and the number of SBRM NEFOP observer sea days for the April 2019 through March 2020, by fleet and trip selection system (Columns I, J, K, and L). Throughout the year, it may be necessary to make small adjustments to the sea days between the PTNS and the Sea Day Schedule to reflect current activity within a fleet. The IFS observer sea days assigned to the IVR system is given in Column I. The SBRM NEFOP observer sea days apportioned to the PTNS that will be assigned to fleets with NMS FMP pre-trip notification requirements is given in Column J; the SBRM NEFOP sea days apportioned to the PTNS that will be assigned to fleets with IFM HERR pre-trip notification requirements is given in Column K. The SBRM NEFOP observer sea days apportioned to the Sea Day Schedule is given in Column L<sup>11</sup>. A total of 3,711 sea days will be assigned to selected trips via the IVR system; 1,766 sea days will be assigned to selected trips via the PTNS (1,735 sea days in fleets with NMS FMP PTNS requirements and 31 sea days in fleets with IFM HERR pre-trip notification requirements). A total of 5,302 days will be assigned to selected trips via the Sea Day Schedule (Table 2). As mentioned above, the PTNS sea days will be assigned to trips with pre-trip notification requirements, a larger set than those trips with industry-funded monitoring requirements.

The numbers of sea days apportioned to the PTNS can be translated into percentages of observer coverage, referred to as “expected” observer coverage because future realized VTR effort is not known. Expected observer coverage (in terms of percentages) is calculated by using VTR effort in the previous year. However, as mentioned previously, the expected and realized observer coverage is not used to track SBRM NEFOP sea day accomplishments because percentage coverage may lead to over or under sampling of SBRM requirements. The actual amount of SBRM coverage each fleet will receive is unknown at the start of the sampling period. For each fleet that contains trips with NMS FMP pre-trip notification requirements, the expected SBRM NEFOP coverage of trips with NMS pre-trip notification requirements (Column M, Table 2) is derived by dividing the apportioned SBRM NEFOP PTNS sea days for NMS FMP (Column J, Table 2) by the product of the VTR activity from July 2017 through June 2018 (Column C, Table 1) and the fraction of VTR activity subject to pre-trip notification requirements for NMS FMP (Column G, Table 2). All expected SBRM NEFOP PTNS values are conditional upon VTR activity. See the Appendix for step through calculations for 3 selected fleets.

These same steps are taken for the proposed IFM HERR. The expected SBRM NEFOP coverage of trips with pre-trip notification requirements for IFM HERR in calendar quarter 1 (Column N, Table 2) is derived by dividing the apportioned SBRM NEFOP PTNS sea days with pre-trip notification requirements (Column K, Table 2), by the product of the VTR activity for calendar quarter 1 (Column C1, Table 1) and the fraction of VTR activity subject to pre-trip notification requirements in quarter 1 for the proposed IFM HERR (Column H1, Table 2). All

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<sup>11</sup> If the sea days apportioned to SBRM NEFOP PTNS for NMS FMP (Column J) or SBRM NEFOP PTNS for HERR FMP Qtr 1 (Column K) are less than the mean trip length for the fleet, then those sea days are reassigned to the Sea Day Schedule (Table 2, Column L). See Row 4 for NMS FMP and Row 5 for HERR FMP for 2 examples.

2019 expected SBRM NEFOP PTNS values for the proposed IFM HERR are associated with calendar quarter 1 to coincide with the earliest implementation date of January 2020 and are conditional upon VTR activity.

The calculations of expected coverage are made at the SBRM fleet level, not at the sector level. SBRM is not designed to specify the contribution of SBRM NEFOP sea days for FMP-specific industry-funded monitoring combined targets, which apply to only a subcomponent of SBRM fleets and a subcomponent of trips with FMP-specific pre-trip notification requirements. The expected observer coverage of SBRM NEFOP PTNS by fleet represents a simplified approximation of the SBRM NEFOP sea days contribution toward the industry-funded monitoring total combined target for NMS FMP and IFM HERR. As mentioned above, for the NMS FMP and the proposed IFM Herring FMP, trips with industry-funded monitoring requirements are a subset of those trips identified that have pre-trip notification requirements. The expected coverage does not exclude common pool trips and sector trips with ASM exemptions and includes more herring trips than the herring trips by vessels holding permit “A” or “B” with the intent to land greater than or equal to 50 mt of herring that require proposed industry-funded monitoring. The expected observer coverage values by fleet are used to inform the initial SBRM coverage rate settings within PTNS at the start of a sampling program. Once a sampling program is underway, coverage rates are monitored and adjusted as needed in order to optimize sea day accomplishments.

## **MMPA Observer Sea Days**

Of the 546 MMPA observer sea days, there are 173 sea days assigned to the PTNS and 373 sea days assigned to the Sea Day Schedule. The 173 MMPA NEFOP Limited PTNS sea days will be assigned to declared groundfish trips<sup>12</sup> during the April 2019 through May 2019 and September 2019 through March 2020 time periods; the 373 MMPA NEFOP Limited Sea Day Schedule sea days will be assigned to trips during the April 2019 through March 2020 time period. The fraction of industry activity subject to NMS FMP pre-trip notification requirements during the September to May time period is used to apportion the 173 MMPA NEFOP Limited PTNS sea days among the gillnet fleets (stratified by mesh size groups and trip length group). The expected observer coverage for a fleet is derived by dividing the apportioned MMPA NEFOP Limited PTNS sea days in the fleet by the past industry activity from September through May in the fleet. The 373 MMPA NEFOP Limited Sea Day Schedule sea days are apportioned among gillnet fleets (stratified by state, geographical area, and distance from shore) based on previous gillnet industry activity. Table 3 presents the MMPA observer sea days allocated to the gillnet fleets by selection system for April 2019 through March 2020. The expected observer coverage for gillnet fisheries that have NMS FMP pre-trip notification requirements by fleet (Table 3) are used to inform the initial MMPA coverage rate settings within PTNS at the start of a sampling program. The actual amount of MMPA coverage each fleet will receive is unknown at the start of the sampling period. All expected MMPA NEFOP Limited PTNS values are conditional upon industry activity. Once a sampling program is underway, coverage rates are monitored and adjusted as needed in order to optimize sea day accomplishments. As mentioned previously, MMPA sea days do not contribute toward SBRM or industry-funded monitoring requirements because of differences in sampling protocols.

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<sup>12</sup> PTNS deployed MMPA-funded sea days cover declared groundfish trips fishing in the New England region, regardless of port of departure.

## Summary of Sea Days by Trip Selection System for 2019

There are 11,325 observer sea days allocated for the April 2019 through March 2020 time period to assess the amount and type of bycatch of fish, invertebrates, sea turtles, and marine mammals in the region using NMFS funds associated with the SBRM and the MMPA observer sea days, and the industry-funded scallop program for IFS sea days. There are 3,711 IFS sea days to be assigned by the IVR for IFS fleets. There are 7,068 SBRM NEFOP sea days, of which 5,302 sea days are apportioned to the Sea Day Schedule (including the sea days for fleets with a herring pre-trip notification requirement for April-December 2019). There are 1,766 SBRM NEFOP sea days apportioned to the PTNS. Of the 1,766 sea days, 1,735 sea days are allocated to fleets with NMS FMP pre-trip notification requirements and 31 sea days are allocated to fleets with proposed IFM HERR pre-trip notification requirement in January-March 2020. There are 546 MMPA sea days, of which 173 sea days are assigned to the PTNS and 373 sea days are assigned to the Sea Day Schedule.

The expected contributions of SBRM NEFOP PTNS sea days toward FMP-specific industry-funded monitoring total combined targets are approximate and derived based on previous VTR activity. The expected observer coverage values, by fleet, are used to inform the initial coverage rate settings within PTNS at the start of the sampling programs. Once a sampling program is underway, coverage rates are monitored and adjusted as needed in order to optimize sea day accomplishments.

## SELECTION SYSTEM OPERATIONAL NOTES

- In the 2019 SBRM dataset, there were 3 fleets (NE small mesh otter trawl [Row 5], MA small mesh otter trawl [Row 7], and NE lobster pot [Row 53]) that contained a few trips that met the groundfish trip criteria; however, these fleets are not considered groundfish fleets (i.e., gear types are not specified in NMS FMP). For these fleets, the fraction of VTR activity subject to the NMS FMP pre-trip notification requirement is set to zero. The SBRM sea day requirement for these fleets will be met through coverage deployed through the Sea Day Schedule.
- SBRM NEFOP sea days may be translated into expected observer coverage rate by dividing the number of observer sea days by the VTR activity. If the future VTR activity increases or decreases, this change would not alter the SBRM sampling requirements. However, it will change the expected observer coverage rate. Because future VTR activity is not known, the previous year's VTR activity is used as an estimate of future activity. The expected SBRM NEFOP PTNS observer coverage by fleet (Table 2) is used as a starting point (initial seed) for PTNS and will be adjusted throughout the year to achieve the SBRM required number of sea days. The realized observer coverage (the SBRM NEFOP observer sea days divided by realized activity) may differ from the expected observer coverage while still meeting the sampling requirements because the VTR activity changed.
- Throughout the year, it may be necessary to make small adjustments to the sea days between the PTNS and the Sea Day Schedule if VTR activity subject to pre-trip notification requirements changes in relative magnitude from what was projected in this document.

Large shifts in sea days between selection systems are not desirable. It is not possible to quantify a trigger for each potential scenario; however, the best operational guidance is to monitor the current industry activity on a monthly time interval and make small scale shifts if necessary to meet SBRM required sea days for a given fleet. Shifts in sea days between SBRM NEFOP PTNS and ASM PTNS will not occur.

- The trip identification criteria for Atlantic herring FMP PTNS requirements are based on the proposed rule and should be considered provisional until the final rule is approved.
- With the proposed Industry-Funded Monitoring Omnibus Amendment to 6 FMPs of the New England Fishery Management Council, there is potential for additional FMP industry-funded monitoring requirements in the future. Any future IFM targets should be independent of SBRM requirements (not a combination of realized IFM percentage and SBRM sea day sampling requirements) because the interaction effects among monitoring programs are highly complex, unpredictable, and challenging to operationally support.

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**Table 1. The 2019 allocated observer sea days for April 2019 through March 2020, the Vessel Trip Report activity (in days and trips) from July 2017 through June 2018, and the expected observer coverage if industry activity remains the same. The expected values are conditional upon industry activity. Purple shaded rows indicate industry-funded scallop fleets. See Appendix Table 1 for fleet abbreviations.**

Fleet						A	B	C	C1	D	D1	E = A/C	F = B/D
Row	Gear Type	Access Area	Trip Cat.	Region	Mesh	Sea Days Allocated for April 2019 - March 2020 (TOTAL)	Trips for April 2019 - March 2020 (TOTAL)	Vessel Trip Report (DAYS)	Qtr 1 Vessel Trip Report (DAYS)	Vessel Trip Report (TRIPS)	Qtr 1 Vessel Trip Report (TRIPS)	Expected % Coverage (DAYS)	Expected % Coverage (TRIPS)
1	Longline, Bottom	OPEN	all	MA	all	107	12	1,028	192	119	21	10.4%	10.1%
2	Longline, Bottom	OPEN	all	NE	all	35	33	971	61	932	42	3.6%	3.5%
3	Hand Line	OPEN	all	MA	all	14	12	3,453	119	3,133	87	0.4%	0.4%
4	Hand Line	OPEN	all	NE	all	13	12	3,029	20	2,761	19	0.4%	0.4%
5	Otter Trawl	OPEN	all	MA	sm	1,557	703	8,117	2,055	3,663	482	19.2%	19.2%
6	Otter Trawl	OPEN	all	MA	lg	1,072	444	6,578	2,218	2,722	577	16.3%	16.3%
7	Otter Trawl	OPEN	all	NE	sm	740	296	10,256	1,864	4,094	416	7.2%	7.2%
8	Otter Trawl	OPEN	all	NE	lg	1,590	555	13,349	3,094	4,660	920	11.9%	11.9%
9	Otter Trawl, Scallop	AA	GEN	MA	sm			23	.	10	.		
10	Otter Trawl, Scallop	AA	GEN	MA	lg			172	8	78	4		
11	Otter Trawl, Scallop	OPEN	GEN	MA	lg			145	.	70	.		
12	Otter Trawl, Twin	OPEN	all	MA	sm	55	12	197	100	62	14	27.9%	19.4%
13	Otter Trawl, Twin	OPEN	all	MA	lg	13	12	94	30	87	29	13.8%	13.8%
14	Otter Trawl, Twin	OPEN	all	NE	sm	16	3	33	21	6	4	48.5%	50.0%
15	Otter Trawl, Ruhle	OPEN	all	MA	sm	0	0	76	27	12	4	0.0%	0.0%
16	Otter Trawl, Ruhle	OPEN	all	MA	lg	6	3	21	.	12	.	28.6%	25.0%
17	Otter Trawl, Ruhle	OPEN	all	NE	sm	0	0	162	23	28	3	0.0%	0.0%
18	Otter Trawl, Haddock Separator	OPEN	all	NE	lg	105	12	515	125	60	15	20.4%	20.0%
19	Otter Trawl, Shrimp	OPEN	all	MA	sm	0	0	1,250	45	214	5	0.0%	0.0%
20	Otter Trawl, Shrimp	OPEN	all	NE	sm	0	0	107	24	78	16	0.0%	0.0%
21	Otter Trawl, Other	OPEN	all	MA	sm	0	0	69	41	12	7	0.0%	0.0%
22	Otter Trawl, Other	OPEN	all	NE	sm	0	0	254	50	52	7	0.0%	0.0%
23	Otter Trawl, Other	OPEN	all	NE	lg	0	0	15	.	8	.	0.0%	0.0%
24	Floating Trap	OPEN	all	MA	all	0	0	66	.	66	.	0.0%	0.0%
25	Floating Trap	OPEN	all	NE	all	0	0	178	31	177	31	0.0%	0.0%
26	Gillnet, Sink, Anchor, Drift	OPEN	all	MA	sm	341	323	1,889	447	1,790	445	18.1%	18.0%
27	Gillnet, Sink, Anchor, Drift	OPEN	all	MA	lg	207	199	1,695	223	1,627	218	12.2%	12.2%
28	Gillnet, Sink, Anchor, Drift	OPEN	all	MA	xl	339	289	1,689	399	1,439	299	20.1%	20.1%
29	Gillnet, Sink, Anchor, Drift	OPEN	all	NE	sm	6	6	15	.	15	.	40.0%	40.0%
30	Gillnet, Sink, Anchor, Drift	OPEN	all	NE	lg	181	135	3,074	223	2,283	85	5.9%	5.9%
31	Gillnet, Sink, Anchor, Drift	OPEN	all	NE	xl	180	123	4,503	380	3,069	144	4.0%	4.0%
32	Purse Seine	OPEN	all	MA	all	0	0	234	.	228	.	0.0%	0.0%
33	Purse Seine	OPEN	all	NE	all	19	9	712	.	331	.	2.7%	2.7%

**Table 1, continued. The 2019 allocated observer sea days for April 2019 through March 2020, the Vessel Trip Report activity (in days and trips) from July 2017 through June 2018, and the expected observer coverage if VTR activity remains the same. The expected values are conditional upon industry activity. Purple shaded rows indicate industry-funded scallop fleets. See Appendix Table 1 for fleet abbreviations.**

Fleet						A	B	C	C1	D	D1	E = A/C	F = B/D
Row	Gear Type	Access Area	Trip Cat.	Region	Mesh	Sea Days Allocated for April 2019 - March 2020 (TOTAL)	Trips for April 2019 - March 2020 (TOTAL)	Vessel Trip Report (DAYS)	Qtr 1 Vessel Trip Report (DAYS)	Vessel Trip Report (TRIPS)	Qtr 1 Vessel Trip Report (TRIPS)	Expected % Coverage (DAYS)	Expected % Coverage (TRIPS)
34	Dredge, Scallop	AA	GEN	MA	all			666	139	375	63		
35	Dredge, Scallop	AA	GEN	NE	all			1,375	.	748	.		
36	Dredge, Scallop	AA	LIM	MA	all	940	38	4,022	432	590	72	19.3%	3.6%
37	Dredge, Scallop	AA	LIM	NE	all	1,128	50	8,787	484	1,185	71	11.1%	2.6%
38	Dredge, Scallop	OPEN	GEN	MA	all	75	40	3,419	537	2,057	295	0.9%	0.7%
39	Dredge, Scallop	OPEN	GEN	NE	all			4,490	1,387	3,259	1,136		
40	Dredge, Scallop	OPEN	LIM	MA	all	1,568	108	3,060	351	360	58	13.4%	8.5%
41	Dredge, Scallop	OPEN	LIM	NE	all			8,622	1,371	911	194		
42	Danish Seine	OPEN	all	MA	all	0	0	38	.	21	.	0.0%	0.0%
43	Trawl, Mid-water Paired&Single	all	all	NE	sm	42	12	570	184	160	47	7.4%	7.5%
44	Trawl, Mid-water Paired&Single	OPEN	all	MA	sm	38	9	174	95	43	19	21.8%	20.9%
45	Pots and Traps, Other	OPEN	all	MA	all	0	0	23	.	23	.	0.0%	0.0%
46	Pots and Traps, Other	OPEN	all	NE	all	0	0	147	15	147	15	0.0%	0.0%
47	Pots and Traps, Fish	OPEN	all	MA	all	12	12	834	34	823	34	1.4%	1.5%
48	Pots and Traps, Fish	OPEN	all	NE	all	9	9	1,141	.	1,132	.	0.8%	0.8%
49	Pots and Traps, Conch	OPEN	all	MA	all	12	12	800	14	798	14	1.5%	1.5%
50	Pots and Traps, Conch	OPEN	all	NE	all	12	12	1,051	3	1,051	3	1.1%	1.1%
51	Pots and Traps, Hagfish	OPEN	all	NE	all	133	12	241	89	21	7	55.2%	57.1%
52	Pots and Traps, Lobster	OPEN	all	MA	all	19	12	1,765	164	1,245	83	1.1%	1.0%
53	Pots and Traps, Lobster	OPEN	all	NE	all	17	12	36,310	4,089	28,062	2,341	0.0%	0.0%
54	Weir	OPEN	all	NE	all	0	0	6	.	6	.	0.0%	0.0%
55	Pots and Traps, Crab	OPEN	all	MA	all	29	12	239	22	115	6	12.1%	10.4%
56	Pots and Traps, Crab	OPEN	all	NE	all	90	12	509	186	69	25	17.7%	17.4%
57	Beam Trawl	OPEN	all	MA	sm	0	0	131	18	33	3	0.0%	0.0%
58	Beam Trawl	OPEN	all	MA	lg	0	0	66	20	23	5	0.0%	0.0%
59	Beam Trawl	OPEN	all	NE	lg	0	0	43	3	34	3	0.0%	0.0%
60	Dredge, Other	OPEN	all	MA	all	0	0	426	150	356	150	0.0%	0.0%
61	Dredge, Urchin	OPEN	all	NE	all	0	0	14	9	14	9	0.0%	0.0%
62	Dredge, Ocean Quahog/Surflclam	OPEN	all	MA	all	24	12	4,020	718	2,031	363	0.6%	0.6%
63	Dredge, Ocean Quahog/Surflclam	OPEN	all	NE	all	35	24	2,754	552	1,899	344	1.3%	1.3%
MMPA coverage						546	24	See Table 3 for MMPA seadays					
<b>TOTAL</b>						11,325							

**Table 2. The 2019 allocated observer sea days for April 2019 through March 2020, the fraction of industry activity from July 2017 through June 2018 that had Northeast Multispecies (NMS) fishery management plan (FMP) pre-trip notification requirements, and the fraction of activity that would have Atlantic herring FMP pre-trip notification herring (HERR) requirements (based on the proposed rule), the allocated observer sea days by fleet and trip selection system, and the expected observer coverage if industry activity remains the same. The expected values are conditional upon industry activity. IFS = Industry-funded scallop; PTNS = Pre-Trip Notification System; NEFOP = Northeast Fisheries Observer Program; SBRM = Standardized Bycatch Reporting Methodology; IVR = interactive voice response. Column A is taken from Table 1. Purple shaded identifies industry-funded scallop; green shading identifies PTNS for NMS FMP; pink shading identifies PTNS for proposed HERR FMP for calendar quarter 1 (January-March 2020). See Appendix Table 1 for fleet abbreviations.**

Fleet						A	G	H1	I	J= A*G	K= A/(D1/D)*H1	L = A-(J+K)	M=J/(C*G)	N= K/(C1*H1)
Row	Gear Type	Access Area	Trip Cat.	Region	Mesh	Sea Days Allocated for April 2019 - March 2020 (TOTAL)	Fraction of activity subject to NMS FMP PTNS Reqmts	activity subject to HERR FMP PTNS Reqmts (Q1)	2019 IFS Sea Days IVR	2019 SBRM Sea Days NEFOP PTNS for NMS FMP	2019 SBRM Sea Days NEFOP PTNS for HERR FMP (Q1)	2019 SBRM Sea Days NEFOP Seaday Schedule	2019 SBRM Sea Day % NEFOP PTNS for NMS FMP	2019 SBRM Sea Day % NEFOP PTNS for HERR FMP (Q1)
1	Longline, Bottom	OPEN	all	MA	all	107	0.000	0.000	0	0	0	107	0.0%	0.0%
2	Longline, Bottom	OPEN	all	NE	all	35	0.161	0.000	0	6	0	29	3.8%	0.0%
3	Hand Line	OPEN	all	MA	all	14	0.000	0.000	0	0	0	14	0.0%	0.0%
4	Hand Line	OPEN	all	NE	all	13	0.090	0.000	0	0	0	13	0.0%	0.0%
5	Otter Trawl	OPEN	all	MA	sm	1,557	0.000	0.006	0	0	0	1,557	0.0%	0.0%
6	Otter Trawl	OPEN	all	MA	lg	1,072	0.136	0.000	0	146	0	926	16.3%	0.0%
7	Otter Trawl	OPEN	all	NE	sm	740	0.000	0.099	0	0	7	733	0.0%	3.8%
8	Otter Trawl	OPEN	all	NE	lg	1,590	0.752	0.000	0	1,196	0	394	11.9%	0.0%
9	Otter Trawl, Scallop	AA	GEN	MA	sm	0	0.000	0.000	0					
10	Otter Trawl, Scallop	AA	GEN	MA	lg	0	0.000	0.000	0					
11	Otter Trawl, Scallop	OPEN	GEN	MA	lg	0	0.000	0.000	0					
12	Otter Trawl, Twin	OPEN	all	MA	sm	55	0.000	0.000	0	0	0	55	0.0%	0.0%
13	Otter Trawl, Twin	OPEN	all	MA	lg	13	0.966	0.000	0	13	0	0	14.3%	0.0%
14	Otter Trawl, Twin	OPEN	all	NE	sm	16	0.000	0.000	0	0	0	16	0.0%	0.0%
15	Otter Trawl, Ruhle	OPEN	all	MA	sm	0	0.000	0.000	0	0	0	0	0.0%	0.0%
16	Otter Trawl, Ruhle	OPEN	all	MA	lg	6	0.083	0.000	0	0	0	6	0.0%	0.0%
17	Otter Trawl, Ruhle	OPEN	all	NE	sm	0	0.000	0.000	0	0	0	0	0.0%	0.0%
18	Otter Trawl, Haddock Separator	OPEN	all	NE	lg	105	1.000	0.000	0	105	0	0	20.4%	0.0%
19	Otter Trawl, Shrimp	OPEN	all	MA	sm	0	0.000	0.000	0	0	0	0	0.0%	0.0%
20	Otter Trawl, Shrimp	OPEN	all	NE	sm	0	0.000	0.000	0	0	0	0	0.0%	0.0%
21	Otter Trawl, Other	OPEN	all	MA	sm	0	0.000	0.000	0	0	0	0	0.0%	0.0%
22	Otter Trawl, Other	OPEN	all	NE	sm	0	0.000	0.000	0	0	0	0	0.0%	0.0%
23	Otter Trawl, Other	OPEN	all	NE	lg	0	0.875	0.000	0	0	0	0	0.0%	0.0%
24	Floating Trap	OPEN	all	MA	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
25	Floating Trap	OPEN	all	NE	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
26	Gillnet, Sink, Anchor, Drift	OPEN	all	MA	sm	341	0.000	0.000	0	0	0	341	0.0%	0.0%
27	Gillnet, Sink, Anchor, Drift	OPEN	all	MA	lg	207	0.000	0.000	0	0	0	207	0.0%	0.0%
28	Gillnet, Sink, Anchor, Drift	OPEN	all	MA	xlg	339	0.059	0.000	0	20	0	319	20.0%	0.0%
29	Gillnet, Sink, Anchor, Drift	OPEN	all	NE	sm	6	0.000	0.000	0	0	0	6	0.0%	0.0%
30	Gillnet, Sink, Anchor, Drift	OPEN	all	NE	lg	181	0.663	0.000	0	120	0	61	5.9%	0.0%
31	Gillnet, Sink, Anchor, Drift	OPEN	all	NE	xlg	180	0.718	0.000	0	129	0	51	4.0%	0.0%
32	Purse Seine	OPEN	all	MA	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
33	Purse Seine	OPEN	all	NE	all	19	0.000	0.000	0	0	0	19	0.0%	0.0%

**Table 2, continued. The 2019 allocated observer sea days for April 2019 through March 2020, the fraction of industry activity from July 2017 through June 2018 that had Northeast Multispecies (NMS) fishery management plan (FMP) pre-trip notification requirements and the fraction of activity that would have Atlantic herring FMP pre-trip notification herring (HERR) requirements, (based on the proposed rule), the allocated observer sea days by fleet and trip selection system, and the expected observer coverage if industry activity remains the same. The expected values are conditional upon industry activity. IFS = Industry-funded scallop; PTNS = Pre-Trip Notification System; NEFOP = Northeast Fisheries Observer Program; SBRM = Standardized Bycatch Reporting Methodology; IVR = interactive voice response. Column A is taken from Table 1. Purple shaded identifies industry-funded scallop; green shading identifies PTNS for NMS FMP; pink shading identifies PTNS for proposed HERR FMP for calendar quarter 1 (January-March 2020). See Appendix Table 1 for fleet abbreviations.**

Fleet						A	G	H1	I J= A*G K= A/(D1/D)*H1 L = A-(J+K)				M=J/(C*G) N= K/(C1*H1)	
Row	Gear Type	Access Area	Trip Cat.	Region	Mesh	Sea Days Allocated for April 2019 - March 2020 (TOTAL)	Fraction of activity subject to NMS FMP PTNS Reqmts	activity subject to HERR FMP PTNS Reqmts (Q1)	2019 IFS Sea Days IVR	2019 SBRM Sea Days NEFOP PTNS for NMS FMP	2019 SBRM Sea Days NEFOP PTNS for HERR FMP (Q1)	2019 SBRM Sea Days NEFOP Seaday Schedule	2019 SBRM Sea Day % NEFOP PTNS for NMS FMP	2019 SBRM Sea Day % NEFOP PTNS for HERR FMP (Q1)
34	Dredge, Scallop	AA	GEN	MA	all	0	0.000	0.000						
35	Dredge, Scallop	AA	GEN	NE	all	0	0.000	0.000						
36	Dredge, Scallop	AA	LIM	MA	all	940	0.000	0.000	940					
37	Dredge, Scallop	AA	LIM	NE	all	1,128	0.000	0.000	1,128					
38	Dredge, Scallop	OPEN	GEN	MA	all	75	0.000	0.000	75					
39	Dredge, Scallop	OPEN	GEN	NE	all	0	0.000	0.000						
40	Dredge, Scallop	OPEN	LIM	MA	all	1,568	0.000	0.000	1,568					
41	Dredge, Scallop	OPEN	LIM	NE	all	0	0.000	0.000						
42	Danish Seine	OPEN	all	MA	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
43	Trawl, Mid-water Paired&Single	all	all	NE	sm	42	0.000	1.000	0	0	11	31	0.0%	6.0%
44	Trawl, Mid-water Paired&Single	OPEN	all	MA	sm	38	0.000	1.000	0	0	13	25	0.0%	13.7%
45	Pots and Traps, Other	OPEN	all	MA	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
46	Pots and Traps, Other	OPEN	all	NE	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
47	Pots and Traps, Fish	OPEN	all	MA	all	12	0.000	0.000	0	0	0	12	0.0%	0.0%
48	Pots and Traps, Fish	OPEN	all	NE	all	9	0.001	0.000	0	0	0	9	0.0%	0.0%
49	Pots and Traps, Conch	OPEN	all	MA	all	12	0.000	0.000	0	0	0	12	0.0%	0.0%
50	Pots and Traps, Conch	OPEN	all	NE	all	12	0.000	0.000	0	0	0	12	0.0%	0.0%
51	Pots and Traps, Hagfish	OPEN	all	NE	all	133	0.000	0.000	0	0	0	133	0.0%	0.0%
52	Pots and Traps, Lobster	OPEN	all	MA	all	19	0.000	0.000	0	0	0	19	0.0%	0.0%
53	Pots and Traps, Lobster	OPEN	all	NE	all	17	0.000	0.000	0	0	0	17	0.0%	0.0%
54	Weir	OPEN	all	NE	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
55	Pots and Traps, Crab	OPEN	all	MA	all	29	0.000	0.000	0	0	0	29	0.0%	0.0%
56	Pots and Traps, Crab	OPEN	all	NE	all	90	0.000	0.000	0	0	0	90	0.0%	0.0%
57	Beam Trawl	OPEN	all	MA	sm	0	0.000	0.000	0	0	0	0	0.0%	0.0%
58	Beam Trawl	OPEN	all	MA	lg	0	0.000	0.000	0	0	0	0	0.0%	0.0%
59	Beam Trawl	OPEN	all	NE	lg	0	0.000	0.000	0	0	0	0	0.0%	0.0%
60	Dredge, Other	OPEN	all	MA	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
61	Dredge, Urchin	OPEN	all	NE	all	0	0.000	0.000	0	0	0	0	0.0%	0.0%
62	Dredge, Ocean Quahog/Surfciam	OPEN	all	MA	all	24	0.000	0.000	0	0	0	24	0.0%	0.0%
63	Dredge, Ocean Quahog/Surfciam	OPEN	all	NE	all	35	0.000	0.000	0	0	0	35	0.0%	0.0%
MMPA coverage						546								
<b>TOTAL</b>						11,325			3,711	1,735	31	5,302		

See Table 3 for MMPA seadays

**Table 3. The 2019 Marine Mammal Protection Act allocated observer sea days for gillnet fleets by selection system. Sea days apportioned to the Pre-Trip Notification System (PTNS) will be assigned during the April 2019 through May 2019 and September 2019 through March 2020 time periods; sea days apportioned to the Sea Day Schedule will be assigned during the April 2019 through March 2020 time period. The expected observer coverage, if industry activity remains the same, is given for PTNS allocated sea days. The expected values are conditional upon industry activity. See Appendix Table 2 for mesh size abbreviations.**

Selection Source	Gear	Mesh Size	State	Geographical Area	Trip Characteristics	Sea days	Expected coverage
PTNS	Gillnet	LG			Day Trip <= 2 da	50	6%
PTNS	Gillnet	LG			Multiday 3+ da		
PTNS	Gillnet	XLG			Day Trip <= 2 da	123	9%
PTNS	Gillnet	XLG			Multiday 3+ da		
Sea Day Schedule	Gillnet	XLG		N. of Cape Cod		1	
Sea Day Schedule	Gillnet	XLG		S. of Cape Cod		68	
Sea Day Schedule	Gillnet	LG		S. of Cape Cod		4	
Sea Day Schedule	Gillnet	Any	VA	Accomack County	Bay	3	
Sea Day Schedule	Gillnet	Any	VA	Accomack County	Ocean 0-3nm	20	
Sea Day Schedule	Gillnet	Any	VA	City of Hampton	Bay	2	
Sea Day Schedule	Gillnet	Any	VA	City of Hampton	Ocean 0-3nm	1	
Sea Day Schedule	Gillnet	Any	VA	Northampton County	Bay	1	
Sea Day Schedule	Gillnet	Any	VA	Northampton County	Ocean 0-3nm	1	
Sea Day Schedule	Gillnet	Any	VA	City of Virginia Beach	Bay	5	
Sea Day Schedule	Gillnet	Any	VA	City of Virginia Beach	Ocean 0-3nm	29	
Sea Day Schedule	Gillnet	Any	VA	York County	Bay	1	
Sea Day Schedule	Gillnet	LG	NC	Dare County	Ocean 3-200nm	3	
Sea Day Schedule	Gillnet	LG	NC	Dare County	Ocean 0-3nm	16	
Sea Day Schedule	Gillnet	SM	NC	Dare County	Ocean 3-200nm	30	
Sea Day Schedule	Gillnet	SM	NC	Dare County	Ocean 0-3nm	77	
Sea Day Schedule	Gillnet	SM	NC	Hyde County	Ocean 0-3nm	11	
Sea Day Schedule	Gillnet	SM	NC	Brunswick County	Ocean 0-3nm	10	
Sea Day Schedule	Gillnet	SM	NC	Carteret County	Ocean 0-3nm	38	
Sea Day Schedule	Gillnet	SM	NC	New Hanover County	Ocean 0-3nm	14	
Sea Day Schedule	Gillnet	SM	NC	Onslow County	Ocean 0-3nm	21	
Sea Day Schedule	Gillnet	SM	NC	Pender County	Ocean 0-3nm	17	
<b>Total</b>						<b>546</b>	

Funding Category	NMFS				INDUSTRY		
Sampling Design	SBRM		MMPA		ASM	IFM HERR (proposed)	IFS
Observer Program/ Protocols	NEFOP		NEFOP Limited		ASM	IFM HERR (forthcoming)	IFS
Selection System	Sea Day Schedule 5,302 sea days	PTNS		Sea Day Schedule 373 sea days	PTNS 173 sea days	PTNS	PTNS
		NMS FMP 1,735 sea days	HERR FMP 31 sea days				

**Figure 1. Schematic of funding categories, sampling designs, observer programs, and trip selection systems used by the Northeast Fisheries Science Center’s Fisheries Sampling Branch for the 2019 observer sea days allocated for April 2019 through March 2020. ASM = At-Sea Monitoring; FMP = fishery management plan; IFM HERR = Industry Funded Monitoring for Atlantic herring FMP (proposed, earliest implementation date is January 2020); IFS = Industry Funded Scallop; IVR = Interactive Voice Response; MMPA = Marine Mammal Protection Act; NMFS = National Marine Fisheries Service; NEFOP = Northeast Fisheries Observer Program; PTNS = Pre-trip Notification System; SBRM = Standardized Bycatch Reporting Methodology. *Note: not all allocated SBRM NEFOP PTNS sea days will contribute toward the total industry-funded monitoring total combined target requirements.***

**Appendix Table 1. Stratification abbreviations used for Standardized Bycatch Reporting Methodology fleets in Tables 1 and 2.**

<b>Abbreviation</b>	<b>Definition</b>
NE	New England ports (RI and northward)
MA	Mid-Atlantic ports (CT and southward)
Sm	Small mesh (less than 5.50 in)
Lg	Large mesh (from 5.50 to 7.99 in for gillnet; 5.50 in and greater for trawl)
Xlg	Extra large mesh (8.00 in and greater for gillnet)
AA	Access area
OPEN	Nonaccess area
GEN	General category
LIM	Limited access category

**Appendix Table 2. Stratification abbreviations used for Marine Mammal Protection Act fleets in Table 3.**

<b>Abbreviation</b>	<b>Definition</b>
Sm	Small mesh (less than 5.0 in)
Lg	Large mesh (from 5.0 to 7.99 in)
Xlg	Extra large mesh (8.00 in)

# APPENDIX

## Step through calculations for 3 selected fleets in Tables 1 and 2

### 1. New England (NE) large mesh Otter trawl fleet (Row 8) for April 2019 through March 2020

*How many observer sea days in this fleet (Row 8) are apportioned to each selection system?*

1,590 days	Total number of SBRM NEFOP observer sea days for this fleet (Table 1, Column A, Row 8) is taken from the 2019 SBRM Annual Discard Report with Observer Sea Day Allocation (NEFSC and GARFO 2019) and is a variance-based estimate of sample size.
13,349 days	Number of Vessel Trip Report (VTR) days in this fleet (Table 1, Column C, Row 8) is taken from 2019 Discard estimation, precision, and sample size analyses for 14 federally managed species in the water off the northeastern United States (Wigley and Tholke 2019).
0.752	Fraction of industry activity with Northeast Multispecies (NMS) fishery management plan (FMP) pre-trip notification requirements in this fleet (Table 2, Column G, Row 8) is derived by dividing the number of trips subject to NMS FMP pre-trip notification requirements in this fleet (not shown in this table) by the number of trips in this fleet (Table 1, Column D, Row 8).
0.000	Fraction of industry activity with proposed Industry Funded Monitoring (IFM) Atlantic herring (HERR) pre-trip notification requirements in this fleet in calendar quarter 1 (Table 2, Column H1, Row 8) is derived by dividing the number of trips subject to the proposed IFM HERR pre-trip notification requirements in this fleet for quarter 1 (not shown in this table) by the number of trips in this fleet (Table 1, Column D1, Row 8).
0 days	Number of Industry Funded Scallop (IFS) observer sea days for the Interactive Voice Response (IVR) system (IFS sea day for IVR, Table 2, Column I, Row 8) is taken from Table 1, Column A, Row 8. This fleet is not an IFS fleet; trips in this fleet did not use a scallop trawl or scallop dredge.
1,196 days	$(1,590 * 0.752)$ Number of SBRM NEFOP observer sea days in this fleet apportioned to the Pre-Trip Notification System (PTNS) for trips with NMS FMP pre-trip notification requirements, rounded to whole days (SBRM NEFOP PTNS for NMS FMP; Table 2, Column J, Row 8) is derived by the product of the total SBRM NEFOP observer sea days in this fleet (Table 2, Column A, Row 8) and the fraction of industry activity with NMS FMP's pre-trip notification requirements in this fleet (Table 2, Column G, Row 8).
0 days	$(1,590 * 0.000)$ Number of SBRM NEFOP observer sea days in this fleet apportioned to the PTNS for trips with IFM HERR pre-trip notification requirements, rounded to whole days (SBRM NEFOP PTNS for IFM HERR; Table 2, Column K, Row 8) is derived by the product of the total SBRM NEFOP observer sea days in this fleet (Table 2, Column A, Row 8) and fraction of industry activity with proposed IFM herring pre-trip notification requirements in this fleet (Table 2, Column H1, Row 8).
394 days	$(1,590 - (1,196 + 0))$ Number of SBRM NEFOP observer sea days apportioned to the NEFOP Sea Day Schedule selection protocol system for this fleet (SBRM NEFOP for

NEFOP Sea Day Schedule; Table 2, Column L, Row 8) is derived by the sum of SBRM NEFOP PTNS for NMS FMP (Table 2, Column J, Row 8) and SBRM NEFOP PTNS for IFM HERR (Table 2, Column K, Row 8) subtracted from the of total SBRM NEFOP observer sea days for this fleet (Table 2, Column A, Row 8).

*What is the expected observer coverage percentage provided by SBRM NEFOP PTNS sea days in this fleet?*

Future industry activity (for the fleet, the fleet's subcomponents, and total industry activity) is not known, so past activity is used (July 2017 through June 2018, taken from the SBRM analysis). The expected percentage of SBRM NEFOP observer sea days is a conditional value based on the assumption that future effort will be the same as past effort.

This expected observer coverage represents an approximate percentage of SBRM NEFOP sea days that will contribute toward the NMS FMP and IFM HERR total combine monitoring requirements. This estimate does not account for the portion of declared trips with Exempted Fishing Permits and/or FMP monitoring exemptions.

11.9%  $(1,196 / (13,349 * 0.752)) * 100$  The expected percentage of SBRM NEFOP PTNS observer sea days for trips with NMS FMP pre-trip notification requirement in this fleet (Table 2, Column M, Row 8) is derived by dividing the SBRM NEFOP PTNS for NMS FMP observer sea days (Table 2, Column J, Row 8) by the product of the VTR effort (Table 1, Column C, Row 8) and the fraction of industry activity with NMS FMP pre-trip notification requirements (Table 2, Column G, Row 8). To represent as a percentage, multiply by 100.

0%  $(0 / (3,094 * 0.000)) * 100$  The expected percentage of SBRM NEFOP PTNS observer sea days for trips with IFM HERR FMP pre-trip notification requirement in this fleet in calendar quarter 1 (Table 2, Column N, Row 8) is derived by dividing the SBRM NEFOP PTNS for IFM HERR FMP observer sea days in calendar quarter 1 (Table 2, Column K, Row 8) by the product of the VTR effort in calendar quarter 1 (Table 1, Column C1, Row 8) and the fraction of industry activity with IFM HERR FMP pre-trip notification requirements in calendar quarter 1 (Table 2, Column H1, Row 8). To represent as a percentage, multiply by 100.

## **2. NE small mesh Otter trawl fleet (Row 7) for April 2019 through March 2020**

*How many observer sea days in this fleet (Row 7) are apportioned to each selection system?*

740 days Total number of SBRM NEFOP observer sea days for this fleet (Table 1, Column A, Row 7) is taken from the 2019 SBRM Annual Discard Report with Observer Sea Day Allocation (NEFSC and GARFO 2019) and is a variance-based estimate of sample size.

10,256 days Number of VTR days in this fleet (Table 1, Column C, Row 7) is taken from 2019 Discard estimation, precision, and sample size analyses for 14 federally managed species in the water off the northeastern United States (Wigley and Tholke 2019).

1,864 days Number of VTR days in this fleet in calendar quarter 1 (Table 1, Column C1, Row 7) is taken from 2019 Discard estimation, precision, and sample size analyses for 14 federally managed species in the water off the northeastern United States (Wigley and Tholke 2019).

0.000	Fraction of industry activity with Northeast Multispecies (NMS) fishery management plan (FMP) pre-trip notification requirements in this fleet (Table 2, Column G, Row 7) is derived by dividing the number of trips subject to NMS FMP pre-trip notification requirements in this fleet (not shown in this table) by the number of trips in this fleet (Table 1, Column D, Row 7).
0.099	Fraction of industry activity with proposed Industry Funded Monitoring (IFM) Atlantic herring (HERR) pre-trip notification requirements in this fleet in calendar quarter 1 (Table 2, Column H1, Row 7) is derived by dividing the number of trips subject to the proposed IFM HERR pre-trip notification requirements in this fleet for quarter 1 (41 trips; not shown in this table) by the number of trips in this fleet (Table 1, Column D1, Row 7).
0 days	Number of Industry Funded Scallop (IFS) observer sea days for the Interactive Voice Response system (IFS sea day for IVR, Table 2, Column I, Row 7) is taken from Table 1, Column A, Row 7). This fleet is not an IFS fleet; trips in this fleet did not use a scallop trawl or scallop dredge.
0 days	$(740 * 0.000)$ Number of SBRM NEFOP observer sea days in this fleet apportioned to the PTNS for trips with NMS FMP pre-trip notification requirements, rounded to whole days (SBRM NEFOP PTNS for NMS FMP; Table 2, Column J, Row 7) is derived by the product of the total SBRM NEFOP observer sea days in this fleet (Table 2, Column A, Row 7) and the fraction of industry activity with NMS FMP pre-trip notification requirements in this fleet in calendar quarter 1 (Table 2, Column G, Row 7).
7 days	$(740 * (416 / 4,094) * 0.099)$ Number of SBRM NEFOP observer sea days in this fleet in calendar quarter 1 apportioned to the PTNS for trips with IFM HERR pre-trip notification requirements, rounded to whole days (SBRM NEFOP PTNS for IFM HERR; Table 2, Column K, Row 7) is derived by the dividing the total number of SBRM NEFOP observer sea days in this fleet (Table 2, Column A, Row 7) by the quotient of the number of VTR trips for this fleet in calendar quarter 1 (Table 2, Column D1, Row 7) and the total number of VTR trips (Table 2, Column D, Row 7), and then multiplying by the fraction of industry activity with proposed IFM herring pre-trip notification requirements in this fleet (Table 2, Column H1, Row 7).
733 days	$(740 - (0 + 7))$ Number of SBRM NEFOP observer sea days apportioned to the NEFOP Sea Day Schedule selection protocol system for this fleet (SBRM NEFOP for NEFOP Sea Day Schedule; Table 2, Column L, Row 7) is derived by the sum of SBRM NEFOP PTNS for NMS FMP (Table 2, Column J, Row 7) and SBRM NEFOP PTNS for IFM HERR (Table 2, Column K, Row 7) subtracted from the of total SBRM NEFOP observer sea days for this fleet (Table 2, Column A, Row 7).

*What is the expected observer coverage percentage provided by SBRM NEFOP PTNS sea days in this fleet?*

Future industry activity (for the fleet, the fleet's subcomponents, and total industry activity) is not known, so past activity is used (July 2017 through June 2018, taken from the SBRM analysis). The expected percentage of SBRM NEFOP observer sea days is a conditional value based on the assumption that future effort will be the same as past effort.

This expected observer coverage represents an approximate percentage of SBRM NEFOP sea days that will contribute toward the NMS FMP and IFM HERR total combine monitoring requirements. This does not account for the portion of declared trips with Exempted Fishing Permits and/or FMP monitoring exemptions.

0%	$(0 / (10,256 * 0.000)) * 100$ The expected percentage of SBRM NEFOP PTNS observer sea days for trips with NMS FMP pre-trip notification requirement in this fleet (Table 2, Column M, Row 7) is derived by dividing the SBRM NEFOP PTNS for NMS FMP observer sea days (Table 2, Column J, Row 7) by the product of the VTR effort (Table 1, Column C, Row 7) and the fraction of industry activity with NMS FMP pre-trip notification requirements (Table 2, Column G, Row 7). To represent as a percentage, multiply by 100.
3.8%	$(7 / (1,864 * 0.099)) * 100$ The expected percentage of SBRM NEFOP PTNS observer sea days for trips with IFM HERR FMP pre-trip notification requirement in this fleet in calendar quarter 1 (Table 2, Column N, Row 7) is derived by dividing the SBRM NEFOP PTNS for IFM HERR FMP observer sea days in calendar quarter 1 (Table 2, Column K, Row 7) by the product of the VTR effort in calendar quarter 1 (Table 1, Column C1, Row 7) and the fraction of industry activity with IFM HERR FMP pre-trip notification requirements in calendar quarter 1 (Table 2, Column H1, Row 7). To represent as a percentage, multiply by 100.

### 3. NE small mesh mid-water trawl fleet (Row 43) for April 2019 through March 2020

*How many observer sea days in this fleet (Row 43) are apportioned to each selection system?*

42 days	Total number of SBRM NEFOP observer sea days for this fleet (Table 1, Column A, Row 43) is taken from the 2019 SBRM Annual Discard Report with Observer Sea Day Allocation (NEFSC and GARFO 2019) and is based on minimum pilot coverage (variance-based estimate of sample size were removed by importance filter).
570 days	Number of VTR days in this fleet (Table 1, Column C, Row 43) is taken from 2019 Discard estimation, precision, and sample size analyses for 14 federally managed species in the water off the northeastern United States (Wigley and Tholke 2019).
184 days	Number of VTR days in this fleet in calendar quarter 1 (Table 1, Column C1, Row 43) is taken from 2019 Discard estimation, precision, and sample size analyses for 14 federally managed species in the water off the northeastern United States (Wigley and Tholke 2019).
0.000	Fraction of industry activity with Northeast Multispecies (NMS) fishery management plan (FMP) pre-trip notification requirements in this fleet (Table 2, Column G, Row 43) is derived by dividing the number of trips subject to NMS FMP pre-trip notification requirements in this fleet (not shown in this table) by the number of trips in this fleet (Table 1, Column D, Row 43).
1.000	Fraction of industry activity with proposed Industry Funded Monitoring (IFM) Atlantic herring (HERR) pre-trip notification requirements in this fleet in calendar quarter 1 (Table 2, Column H1, Row 43) is derived by dividing the number of trips subject to the proposed IFM HERR pre-trip notification requirements in this fleet for quarter 1 (47 trips; not shown in this table) by the number of trips in this fleet (Table 1, Column D1, Row 43).
0 days	Number of Industry Funded Scallop (IFS) observer sea days for the Interactive Voice Response system (IFS sea day for IVR, Table 2, Column I, Row 43) is taken from

Table1, Column A, Row 43. This fleet is not an IFS fleet; trips in this fleet did not use a scallop trawl or scallop dredge.

- 0 days (42 \* 0.000) Number of SBRM NEFOP observer sea days in this fleet apportioned to the PTNS for trips with NMS FMP pre-trip notification requirements, rounded to whole days (SBRM NEFOP PTNS for NMS FMP; Table 2, Column J, Row 43) is derived by the product of the total SBRM NEFOP observer sea days in this fleet (Table 2, Column A, Row 43) and the fraction of industry activity with NMS FMP pre-trip notification requirements in this fleet in calendar quarter 1 (Table 2, Column G, Row 43).
- 11 days (42 \* ( 3/ 12) \* 1.000) Number of SBRM NEFOP observer sea days in this fleet in calendar quarter 1 apportioned to the PTNS for trips with IFM HERR pre-trip notification requirement, rounded to whole days (SBRM NEFOP PTNS for IFM HERR; Table 2, Column K, Row 43) is derived by the dividing the total number of SBRM NEFOP observer sea days in this fleet (Table 2, Column A, Row 43) by the quotient of the minimum pilot coverage for quarter 1 in this fleet (not shown in table; 3 trips) and the total number of SBRM trips (Table 2, Column B, Row 43), and then multiplying by the fraction of industry activity with proposed IFM herring pre-trip notification requirements in this fleet (Table 2, Column H1, Row 43). Because this is a fleet with minimum pilot coverage, the ratio of quarterly SBRM trips to the total SBRM trips for this fleet (3/12 = 0.2500) is used rather than the ratio (47/160 = 0.2938) of VTR quarterly effort (Table 2, Column D1, Row 43) to total VTR effort in the fleet (Table 2, Column D, Row 43).
- 31 days (42 – (0 + 11)) Number of SBRM NEFOP observer sea days apportioned to the NEFOP Sea Day Schedule selection protocol system for this fleet (SBRM NEFOP for NEFOP Sea Day Schedule; Table 2, Column L, Row 43) is derived by the sum of SBRM NEFOP PTNS for NMS FMP (Table 2, Column J, Row 43) and SBRM NEFOP PTNS for IFM HERR (Table 2, Column K, Row 43) subtracted from the of total SBRM NEFOP observer sea days for this fleet (Table 2, Column A, Row 43).

*What is the expected observer coverage percentage provided by SBRM NEFOP PTNS sea days in this fleet?*

Future industry activity (for the fleet, the fleet’s subcomponents, and total industry activity) is not known, so past activity is used (July 2017 through June 2018, taken from the SBRM analysis). The expected percentage of SBRM NEFOP observer sea days is a conditional value based on the assumption that future effort will be the same as past effort.

This expected observer coverage represents an approximate percentage of SBRM NEFOP sea days that will contribute toward the NMS FMP and IFM HERR total combine monitoring requirements. This does not account for the portion of declared trips with Exempted Fishing Permits and/or FMP monitoring exemptions.

- 0% (0 / (570 \* 0.000))\*100 The expected percentage of SBRM NEFOP PTNS observer sea days for trips with NMS FMP pre-trip notification requirement in this fleet (Table 2, Column M, Row 7) is derived by dividing the SBRM NEFOP PTNS for NMS FMP observer sea days (Table 2, Column J, Row 7) by the product of the VTR effort (Table 1, Column C, Row 7) and the fraction of industry activity with NMS FMP pre-trip notification requirements (Table 2, Column G, Row 7). To represent as a percentage, multiply by 100.
- 6.0% (11 / (184 \* 1.000))\*100 The expected percentage of SBRM NEFOP PTNS observer sea days for trips with IFM HERR FMP pre-trip notification requirement in this fleet in calendar quarter 1 (Table 2, Column N, Row 43) is derived by dividing the SBRM

NEFOP PTNS for IFM HERR FMP observer sea days in calendar quarter 1 (Table 2, Column K, Row 43) by the product of the VTR effort in calendar quarter 1 (Table 1, Column C1, Row 43) and the fraction of industry activity with IFM HERR FMP pre-trip notification requirements in calendar quarter 1 (Table 2, Column H1, Row 43). To represent as a percentage, multiply by 100.

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